



09-17-02

1746
Docket No. 5401/CMP/RKK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Hon. Assistant Commissioner
for Patents
Washington, D.C. 20231

Re: Inventor(s): John M. White, Ying Yu and Michael Sugarman
Title: WAFER SCRUBBING DEVICE HAVING BRUSH ASSEMBLY AND MOUNTING ASSEMBLY
FORMING SPHERICAL JOINT
Serial No.: 09/838,959
Filed: April 19, 2001
Examiner: Unknown
Group Art Unit: 1746

Transmitted herewith is:

BEST AVAILABLE

- ☒ Information Disclosure Statement
☒ PTO Form 1449 with one cited reference
☒ Return Postcards

FEE CALCULATION

Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
TOTAL FEES					\$0.00

— The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:

PATENT COUNSEL
APPLIED MATERIALS, INC.
Legal Affairs Department
P.O. BOX 450A
Santa Clara, CA. 95052

RECEIVED
SEP 19 2002
TC 1700

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Express Mail Receipt No. EV158466068US

Date of Deposit: September 16, 2002

Signature: Valerie G. Dugan

Respectfully submitted,

Valerie G. Dugan
Registration No. 36,125
(914) 332-9081



Express Mail Label No. EV158466068US

PATENTS
5401/CMP/RKK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : John M. White, Ying Yu and Michael Sugarman
Serial No. : 09/838,959
Filed : April 19, 2001
For : WAFER SCRUBBING DEVICE HAVING BRUSH ASSEMBLY
AND MOUNTING ASSEMBLY FORMING SPHERICAL JOINT
Group Art Unit : 1746

Hon. Assistant Commissioner
for Patents
Washington, D.C. 20231

BEST AVAILABLE COPY

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following reference:

U.S. Patent No. 3,879,786, Larkin

This reference is also listed on the accompanying
Information Disclosure Statement (Form PTO-1449) and a copy is
enclosed.

RECEIVED
SEP 19 2002
TC 1700

Consideration of the foregoing in relation to this
patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in cursive script, reading "Valerie G. Dugan".

Valerie G. Dugan, Esq.
Registration No. 36,125
Dugan & Dugan, LLP
Attorneys for Applicants
(914)332-9081

Dated: September 16, 2002
Tarrytown, New York